This process chart was developed by SCHEV staff as a reference guide for public institutions seeking state action on organizational changes to academic structures. The Council’s “Policies and Procedures for Internal and External Organizational Changes” contains definitions of these terms, specific policy statements, detailed procedures and instructions, and requisite forms.

<table>
<thead>
<tr>
<th>LEVEL OF REQUIRED STATE ACTION</th>
<th>“SIMPLE” ACADEMIC-STRUCTURE CHANGE</th>
<th>“COMPLEX” ACADEMIC-STRUCTURE CHANGE</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>NO SCHEV ACTION REQUIRED</strong></td>
<td>Any organizational change made below the departmental level or involving only personnel matters within existing organizational units above the departmental level, or any “fully exempt” site specifically approved by the State Board for Community Colleges for one of its colleges.</td>
<td></td>
</tr>
<tr>
<td><strong>SCHEV STAFF MUST BE NOTIFIED IN WRITING</strong></td>
<td>Any organizational change at or above the departmental level (excluding personnel matters in units above the departmental level) that is to be made solely for the purposes of internal management, including the establishment of a “partially-exempt” or “fully-exempt” off-campus instructional site.</td>
<td></td>
</tr>
<tr>
<td><strong>COUNCIL MUST REVIEW AND APPROVE OR DISAPPROVE</strong></td>
<td>Any organizational change at or above the departmental level (excluding personnel matters in units above the departmental level) that is to be made for reasons other than simple internal management, including the establishment of a “non-exempt” off-campus instructional site.</td>
<td></td>
</tr>
</tbody>
</table>
A public institution proposes a change to its organizational structure and/or to an academic unit.

Will the proposed change be at or above the departmental level (excluding personnel matters in units above the departmental level)?

**NO**

Such a change does not necessitate notification of (nor action by) SCHEV (Council nor Staff)

**YES**

Under Council’s criteria, will the proposed change constitute a “complex” change? (“No” indicates a “simple” change)

**NO**

Will the proposed “simple” change involve the establishment, reorganization, or closure of a new unit or an off-campus instructional site that qualifies as a “fully-exempt” site? (“No” indicates a “simple” change that involves an on-campus site or a “partially-exempt” off-campus site.)

**NO**

A proposal for a complex change must be reviewed by Council for a “Preliminary” approval or a “Conditional” approval or disapproval.

**YES**

Will the proposed “complex” change involve the establishment of a new campus, new construction, or an off-campus instructional site that qualifies, under Council’s criteria as a “non-exempt” site?

**YES**

“Fully-exempt” site necessitates notification and documentation to SCHEV.

**NO**

A request for a “simple” change must be submitted to SCHEV Staff for review and staff-level approval and/or acknowledgement.

A proposal to reorganize or close a campus or off-campus instructional site must be submitted to SCHEV for Council approval, disapproval, or acknowledgement.
**State Council of Higher Education for Virginia**  
**Organizational Changes at Public Institutions:**  
**Policies and Procedures For Internal and Off-Campus Organizational Changes**

**Effective: August 1, 2013**

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I. Statutory Obligations Related to Organizational Changes at Public Institutions

A. The Council of Higher Education’s Responsibility, Authority and Duty

1. Responsibility
   “Review and approve or disapprove the establishment of any department, school, college, branch, division or extension of any public institution of higher education which such institution proposes to establish whether located on or off the main campus of such institution. If any organizational change is determined by the Council to be proposed solely for the purpose of internal management and the institution’s curricula offerings remain constant, the Council shall approve the proposed change. Nothing in this subdivision shall be construed to authorize the Council to disapprove the establishment of any such department, school, college, branch, division or extension established by the General Assembly.” (Code of Virginia, §23.1-203(7))

2. Authority
   “Adopt such policies and regulations as the Council deems necessary to implement its duties established by state law. Each public institution of higher education shall comply with such policies and regulations.” (Code of Virginia, §23.1-203(15))

3. Duty
   “Insofar as practicable, preserve the individuality, traditions, and sense of responsibility of each public institution of higher education in carrying out its duties. The Council, “insofar as practicable, shall seek the assistance and advice of each public institution of higher education in fulfilling all of its duties and responsibilities.” (Code of Virginia, §23.1-203(27-28))

B. Public Institutions’ Responsibility and Duty

1. Responsibility
   1. A public college or university seeking to create, establish, or operate an off-campus instructional site, funded directly or indirectly from the general fund or with revenue from tuition and mandatory educational and general fees generated from credit course offerings, shall first refer the matter to the State Council of Higher Education for Virginia for its consideration and approval. The State Council of Higher Education for Virginia may provide institutions with conditional approval to operate the site for up to one year, after which time the college or university must receive approval from the Governor and General Assembly, through legislation or appropriation, to continue operating the site.
2. For the colleges of the Virginia Community College System, the State Board of Community Colleges shall be responsible for approving off-campus locations. Sites governed by this requirement are those at any locations not contiguous to the main campus of the institution, including locations outside Virginia.

3. a. The provisions of this language shall not apply to credit offerings on the site of a public or private entity if the offerings are supported entirely with private, local, or federal funds or revenue from tuition and mandatory educational and general fees generated entirely by course offerings at the site.

b. Offerings at previously approved off-campus locations shall also not be subject to these provisions.

c. Further, the provisions of this language do not govern the establishment and operations of campus sites with a primary function of carrying out grant and contract research where direct and indirect costs from such research are covered through external funding sources. Such locations may offer limited graduate education as appropriate to support the research mission of the site.

d. Nothing in this language shall prohibit an institution from offering non-credit continuing education programs at sites away from the main campus of a college or university.

4. The State Council of Higher Education shall establish guidelines to implement this provision.” (2003 Revision to the Appropriation Act of 2002-04, Section 4-5.05c)

2. **Duty**

   “Each public institution of higher education shall comply with such polices and regulations [as the Council believes necessary to implement all of the Council’s duties and responsibilities as set forth in this Code ],” (Code of Virginia, §23.1-203(15))

The Council has established the following policies and procedures related to organizational changes at public institutions as part of its obligation “to promote the development and operation of an educationally and economically sound, vigorous, progressive, and coordinated system of higher education in the State of Virginia.” (Code of Virginia, §23.1-203)
II. Policy Statements on Organizational Changes at Public Institutions

A. Overview

To ensure that the Council is duly apprised of organizational changes in or to academic structures/units at public institutions, and that institutions are not unnecessarily burdened with complex and lengthy procedures, the Council recognizes the following distinctions. **Note:** The following distinctions are applicable to establishment, reorganization, and closure/termination of academic structures/units:

1. Types of Organizational Changes
   a. “Simple” Organizational Change: a structural alteration (establishment, reorganization, or termination), proposed solely for the purpose of internal management, that would not alter the institution’s mission or curricular offerings and would be executable within currently-authorized funds (e.g., re-naming an academic department or establishing a “fully-exempt” or “partially-exempt” off-campus instructional site—see Operational Definitions below).

   b. “Complex” Organizational Change: a structural alteration (establishment, reorganization, or termination), not proposed solely for the purpose of internal management, that may alter the institution’s mission or curricular offerings and/or may not be executable within currently authorized funds (e.g., establishing a new unit—college, school, department—or a “non-exempt” off-campus site—see Operational Definitions below).

2. Levels of Required State Action
   a. No Required State Action: any “simple” organizational change made below the departmental level or involving only personnel matters within existing organizational units above the departmental level.

   b. SCHEV Staff Must be Notified in Writing: any “simple” organizational change that involves the establishment, reorganization, or termination of a subdivision of an institution at or above the departmental level (excluding personnel matters in units above the departmental level), including the establishment of a "fully-exempt" or “partially-exempt” off-campus site (see Operational Definitions below).

   c. Council Must Review and Approve or Disapprove: any “complex” organizational change that involves the establishment, reorganization, or termination of a subdivision of an institution at or above the departmental level (excluding personnel matters in units above the departmental level), including the establishment of a “non-exempt” off-campus instructional site (see Operational Definitions below). For “complex” changes involving “non-exempt” sites, Council review and “preliminary” and/or “conditional” approval is required (see Operational Definitions below). “Preliminary” approval is an optional pre-approval step. “Conditional” approval is a mandatory step and is granted for a period of no more than one year. During the conditional period, the institution must seek and receive approval for long-term operation of the site from the Governor and General Assembly.
B. Operational Definitions of Key Terms

**Organizational Change:** an alteration—establishment, reorganization, or closure/termination—in the organization and/or structure of one or more of an institution’s academic units.

“Simple” Organizational Change: a structural alteration (establishment, reorganization, or closure/termination), proposed solely for the purpose of internal management, that would not alter the institution’s mission or curricular offerings and would be executable within currently authorized funds (e.g., re-naming an academic department or establishing a “fully-exempt” or “partially-exempt” off-campus instructional site).

“Complex” Organizational Change: a structural alteration (establishment, reorganization, or closure/termination), not proposed solely for the purpose of internal management, that may alter the institution’s mission or curricular offerings and/or may not be executable within currently authorized funds (e.g., establishing a new unit—college, school, or department—or a “non-exempt” off-campus instructional site.)

**Off-Campus Site:** any location not contiguous to the approved, main campus(es) of an institution. These policies and procedures apply to instructional sites only.

“Fully-Exempt” Off-Campus Instructional Site: an instructional location, not contiguous to an institution’s approved, main campus(es), that is not subject to Council approval or disapproval. As such, an “exempt” off-campus site is one for which either:
- the State Board of Community Colleges provides specific approval to a college of the Virginia Community College System; or
- the General Assembly, the State Board of Community Colleges, or the State Council of Higher Education has previously granted approval; or
- the primary function is the execution of grant and contract research where direct and indirect costs from such research are covered through external funding sources (and where limited graduate-level instruction may be offered); or
- the sole function is the provision of non-credit continuing education instruction.

The establishment of a “fully-exempt” off-campus site constitutes a “simple” organizational change. Council staff must be informed using the procedures for such changes (see below).

“Partially-Exempt” Off-Campus Instructional Site: an instructional location, not contiguous to an institution’s approved main campus, that is subject to Council staff review but is not subject to Council approval or disapproval. Such locations include all sites where the for-credit courses/programs to be offered are supported entirely with private, local, or federal funds or with revenue from tuition and mandatory educational and general fees generated entirely by course offerings at the site. The establishment of a “partially-exempt” off-campus site constitutes a “simple” organizational change of which Council staff must be informed using the procedures for such changes (see below). Final authority for determining whether a proposed off-campus instructional site is “partially-exempt” rests with the Council.
“Non-Exempt” Off-Campus Instructional Site: an instructional location, not contiguous to an institution’s approved, main campus, that is subject to Council approval. Such locations include all sites to be funded directly or indirectly from the general fund or with revenue from tuition and mandatory educational and general fees generated from credit course offerings provided at locations (including the main campus and other approved off-campus sites) beyond the sites in question.

“Preliminary” Approval: an action by the Council authorizing a public, four-year institution to continue development of plans for an off-campus instructional site that will ultimately require either the purchase, construction or receipt (as donation) of one or more buildings. A request for such an approval is an optional step that allows an institution to seek state (Council) scrutiny, input and permission to continue planning before it expends significant resources or enters into binding contracts/agreements. A request for a “conditional” approval (see below) must follow within one year of the State Council’s granting of a preliminary approval; all requests for extensions of preliminary approvals will be considered at the Council’s discretion. A request for a preliminary approval must address, clearly and completely, issues of need and, in at least a general way, issues of cost; the required documentation is detailed in Part III of Council’s “Proposal for Organizational Change” form, which appears at the end of this document.

“Conditional” Approval: an action by the Council authorizing a public, four-year institution to operate a non-exempt off-campus instructional site for up to one year, during which time the institution must receive final approval from the Governor and General Assembly—via legislation and/or appropriation—to continue to operate the site. A request for a conditional approval is mandatory regardless of whether the institution previously requested a “preliminary” approval (see above). A request for a conditional approval is required to include specific, detailed and/or finalized documentation; the required documentation is detailed in Part III of Council’s “Proposal for Organizational Change” form, which appears at the end of this document.

C. “Simple” and “Complex” Organizational Changes

1. Background
   The Council recognizes distinctions between “simple” and “complex” organizational changes (see Operational Definitions above). This distinction is intended to clarify and streamline the approval process for public institutions’ organizational changes. As a result, while the Council will continue to formally approve all institutional organizational changes at or above the departmental level, SCHEV staff have been delegated the responsibility and authority to approve “simple” organizational changes that meet the criteria specified in these policies and procedures. “Simple” and “complex” organizational changes must be submitted to SCHEV staff using the guidelines, instructions and forms contained herein.

2. “Simple” Organizational Changes
   If SCHEV staff concurs with a public institution’s determination that a proposed organizational change fits the definition of a “simple” change (i.e., would be solely for the purpose of internal management, would not alter the institution’s mission and curricular offerings and would be executable within currently-approved funds), the
Council delegates responsibility for the review and approval of such a change to SCHEV staff. Activities subject to such review and approval include institutional changes related to academic units/structures that are solely for the purpose of internal management in or out of Virginia, as well as the establishment of “partially-exempt” off-campus sites (see Operational Definitions above). SCHEV staff will respond within 30 calendar days of receipt of written notification of the proposed “simple” organizational change.

3. “Complex” Organizational Changes
If SCHEV staff and/or a public institution determine(s) that a proposed organizational change fits the definition of a “complex” change (see Operational Definitions above), the institution shall seek Council approval of the proposed change in accordance with these policies and procedures.
   a. For the purposes of these policies and procedures, “non-exempt” off-campus sites (see Operational Definitions above) shall be considered “complex” organizational changes.
   b. Within 60 calendar days of receipt of a proposed “complex” change, SCHEV staff shall prepare a report and recommendation for Council action on the proposed organizational change. The report shall be placed on the Council’s agenda as promptly as possible.
   c. When a proposed “complex” organizational change involves a “non-exempt” off-campus site, Council shall consider the proposal for “preliminary” approval (a pre-approval option for institutions) or “conditional” (required) approval. The granting of preliminary approval shall allow the institution to continue its development of plans for the site for up to one year, during which time the institution must finalize its request for a conditional approval. The granting of conditional approval shall allow the institution to operate the site for up to one year, during which time the institution must receive final approval from the Governor and General Assembly—via legislation and/or appropriation—to continue to operate the site.

D. Policies Relevant to All Organizational Changes

1. Public institutions shall inform SCHEV staff in writing at least 60 days prior to initiation of any proposed organizational changes at or above the departmental level, including the establishment of a school, college, branch, division, or extension, and the proposed creation of an institute or center if such institute or center is at or above the departmental level. All organizational changes (excluding "fully-exempt" off-campus sites) must be submitted for Council consideration and approval prior to initiation.

2. Public institutions must notify SCHEV staff of the establishment of a "fully-exempt" off-campus site. Institutions must inform SCHEV in writing upon approval from an authorizing agent (see Operational Definitions above).

3. Public institutions shall inform SCHEV staff in writing of any plans to offer a significant level of instruction or services out of the state through electronic delivery or physical presence in another state or country.
4. The Council does not possess the authority to disapprove an organizational change authorized by the General Assembly or an off-campus site authorized by the State Board of Community Colleges.

5. Approval of an organizational change does not obligate the Council to support capital or operating requests in excess of the amounts provided in the institution’s current appropriation.

6. Public institutions are prohibited from transforming “simple” organizational changes into “complex” changes and/or transforming “fully-exempt” or “partially-exempt” sites into “non-exempt” sites subsequent to SCHEV staff notification and/or approval. Any and all subsequent organizational changes and sites must be submitted for Council consideration and approval prior to initiation.

7. If an organizational change is intended or implied in a request for a new academic degree program, the Council or its staff must approve the organizational change prior to initiation of the program.

8. In accordance with the criteria of the Commission on Colleges of the Southern Association of Colleges and Schools (SACS) as well as specialized and/or professional accrediting bodies, an organizational change may constitute a “substantive change” of which an institution must inform its accreditor(s).

III. Procedures for Submission of Proposals for Organizational Changes

A. General Guidelines for Organizational Changes (Establishment, Reorganization, Closure/Termination)

1. All requests for “simple” organizational changes requiring staff approval must be submitted 60 days prior to the date the change is sought. SCHEV staff will respond within 30 days of receipt of request of a proposed change. Proposals for “complex” organizational changes must be submitted at least 60 days prior to the Council meeting at which Council action is sought. It is recommended that proposals for “complex” organizational changes be submitted at least 90 days prior to the Council meeting to ensure a timely processing.

2. Proposals for “simple” and “complex” changes must be submitted via the attached forms. Proposals for “complex” changes must include Part I and II.

3. Regardless of its form, the proposal must include sufficient information, in sufficient detail and quantity to permit SCHEV staff to readily and adequately determine that the proposed change fits the definition of a “simple” change and, thus, can be certified or approved at the staff level. SCHEV staff reserves the right to request additional information to determine adequate resources are available to support a proposed change.
B. Specific Instructions for Organizational-Change Proposals (Establishment, Reorganization, Closure/Termination)

1. Proposal Format

- Use Microsoft Word Times New Roman or Arial 12 Font.

- Use one inch margins and single space between narrative text.

- Number all pages of the document. Number appendices or attachments separate from the main document.

- Provide a table of contents.

- Print one-sided. Print documents from the Word document format—do not print documents from the PDF.

- Use only SCHEV official forms – edited SCHEV forms will not be accepted. Use SCHEV category headings when provided within this document.

- Include support documentation (e.g., contracts, MOU/ MOA agreements) in a labeled appendix. Non-word documents are to be scanned for inclusion in the appendix of the electronic copy, if applicable.

- For a “fully-exempt” off-campus site, provide documentation of the Virginia Generally Assembly action authorizing the site and the purpose of the site.

- Include organizational charts of existing and proposed organization within the institution and/or the unit.

- Use a clip or rubber band—please do not bind materials.

- For formal submissions, send two hard copies of the documentation. One document must contain an original signature on the cover letter and the SCHEV “Proposal for Organizational Change Cover Sheet.” Electronic signatures will not be accepted.

- Address all proposal correspondence to the Director of Academic Affairs. Submit two hard copies. Address all envelopes/packages to Administrative Assistant, Academic Affairs and Planning. For “complex” changes, an electronic copy on CD or flash drive is submitted upon final approval.

Note: No electronic copy is submitted for simple organizational changes.

- For draft documents, submit one hard copy labeled "draft." Include a completed SCHEV Proposal for Organizational Change Cover Sheet. Include a cover note from the SCHEV liaison that indicates the nature of the submission, the organizational change being proposed, the liaison's contact information (name, telephone number, and email address).
2. Proposal Requirements, Components, and Forms
Proposals for organizational changes must include the following components: (i) a cover letter signed by the president of chief academic officer; (ii) an “Organizational Change Cover Sheet”; (iii) a narrative to explain the proposed change; (iv) organizational charts; and (v) a table of contents.

i) Letter from Chief Academic Officer
A letter signed by the president or chief academic officer must accompany the proposal for organizational changes. The letter must:

- indicate the nature of the request, include the name and location of the organizational unit(s), the proposed effective date, and the BOV approval date. If BOV approval is not required, it should be noted;
- provide a description the change;
- explain how the change will fit with the institution’s mission;
- describe the institution’s commitment to the change (in terms of faculty, financial, and physical resources); and
- describe funding plans for the change, including a description of what the institution will stop doing or do differently in order to fund the change.

Note: If the organizational change will be offered jointly or in collaboration with one or more additional institutions (public or private), the chief academic officer(s) of the collaborating institution(s) must also submit an organizational change request.

ii) Organizational Change Cover Sheet
The SCHEV Cover Sheet for Organizational Changes must be used to submit proposals for organizational changes (see page 12).

iii) Narrative Description
Proposals for organizational changes must include a detailed narrative description to address (at minimum) the areas and statements below.

Note: The format for the proposal is not bulleted. A guide with the section headings to format the proposal can be found on page 11 of this document.

- Include a description of the nature of the proposed change.
- Include a narrative to describe the history or the events that has occurred to prompt organizational change.
- Include an explanation of the nomenclature used by the institution if unit names are used interchangeable (e.g., department and school).
• Include a detailed rationale to provide justification for the organizational change. Include support documentation as needed.

• Indicate specific academic programs (certificates and degrees) that will be administered or located in the unit. Indicate academic programs that will be moved from unit or relocated to the unit.

• Describe the proposed closure or consolidation of existing units (at or above the department level).

• Describe the resources needed to institute the organizational change. Include information for administration, faculty, and unit support.

• Explain the resources needed to support the proposed organizational change. A line-item budget should be included to show the costs for signage, paper products, websites, promotional materials, and miscellaneous items.

• For new or reorganized units, provide a detailed budget to show the costs for establishment or existing costs (e.g., a program or the existing unit) and the first three years of operation. Indicate costs and the year for new resources (e.g., for new hires).

• Include additional supplemental documentation as needed.

iv) Organizational Charts
Include organizational charts at the level of the proposed of change that show the organizational structure before and after the proposed organizational change. If a new unit is proposed, include an organizational chart of the unit.

v) Table of Contents
Provide a detailed table of contents. The table of contents should reflect the page numbers of the primary document and all attached forms, documents, narratives and supplemental information.

(REMAINDER OF PAGE LEFT BLANK FOR FORMATTING PURPOSES)
Format/order of section headings for proposals submitted to SCHEV

Note: This outline is provided for convenience and guidance in formatting organizational change requests submitted to the State Council. Not every section heading is included and proposals may include additional sections as needed. Specific requirements regarding format and content can be found on pages 9 and 10 of this document.

Institution (name)

Nature of Proposed Change (what change is proposed)

Background (brief history or what is prompting the change)

Purpose of Proposed Change

Rationale for Proposed Change

Curriculum/Degree Programs (specific degree programs affected by the change, if applicable)

Resources (budget, administration personnel, faculty, space, internal infrastructure/support, off-campus site support, and any other items or areas needed to support the organizational change)

Attachments (organizational charts and if applicable, contracts, leases, and General Assembly legislation and/or appropriations)

(REMAINDER OF PAGE LEFT BLANK FOR FORMATTING PURPOSES)
1. Institution

2. Nature of Proposed Change (i.e., to establish, reorganize, or terminate/close an institutional unit). Please indicate the change here. Attach a detailed description of the change as a separate document. Attach copies of the institution’s current and resultant organizational charts.

3. Purpose of Proposed Change. Please indicate the reason(s) for the change here. Attach a detailed description of the rationale for the proposed change on a separate page.

4. Type of Proposed Change (check one).
   - SIMPLE
     - Please explain how the change fits with the institution’s mission, curriculum, and funding on a separate page.
   - COMPLEX
     - Please complete and submit Part I supplemental Information and Part II Information for Non-exempt off-campus site.

5. Does this proposed change involve the establishment of an off-campus instructional site?
   - NO
   - YES
     - If yes, does the proposal fit the criteria for a partially-exempt, non-exempt, or fully-exempt instructional site?
       - PARTIALLY-EXEMPT
         - If partially-exempt, please attach documentation to support this status.
       - NON-EXEMPT
         - If non-exempt, please complete and submit Part II and Part III of this form.
       - FULLY-EXEMPT
         - If fully-exempt, please attach documentation to support this status.

6. Date of Approval by Board of Visitors. (MM/DD/YYYY)
   - Check box if BOV approval is not needed.

7. Proposed Effective Date of Organizational Change. (MM/DD/YYYY)

---

Signature ___________________________ Date ___________________________

Title ___________________________ Phone ___________________________
Part I: Supplemental Information

1. If a study was conducted to determine the feasibility of the proposed organizational change, please summarize its findings here and attach a copy of the full report. If no such feasibility study was conducted, please summarize a needs assessment (the institution’s need for the change, and/or the needs of students, citizens, employers, the local area, the region, the Commonwealth) here and attach a detailed description of the necessity for the proposed organizational change.

2. Summarize here and attach a detailed description of the anticipated effect(s) of the proposed change on the institution’s mission, scope, curriculum and budget.

3. If the proposed organizational change will involve the reorganization of an existing academic unit, or the merger of two or more currently-separate units, please summarize here and attach a detailed description of the impact of this change on operating costs (including salaries, facilities, equipment and supplies).

4. If the proposed change will involve the establishment of a new academic unit or units, please summarize here and attach a detailed description of the anticipated operating costs, including costs of and number of FTE personnel in each of the following categories: administrative salaries, faculty salaries, clerical/support salaries, supplies, library, travel, equipment, and other (itemized). Please also indicate for which, if any, of the categories above the institution will be requesting new state appropriations.

If the new organizational unit(s) will be funded in part by a source or sources other than state appropriations, please summarize here and attach a detailed description of these anticipated private funds (source, amount, duration, and planned use).
Part II: For Non-Exempt Off-Campus Instructional Sites

1. Type of Council Action Sought at This Time (check one):

PRELIMINARY-APPROVAL ☐ CONDITIONAL-APPROVAL ☐

If “partially-exempt,” please provide the information requested in Section A
Then, complete Section C.

If “conditional,” please provide the information requested in Section B
Then, complete Section C.

2. At minimum, proposal documents must include text to address statements below. Appropriate section headings should be included. Add additional headings if needed.

A. Preliminary Approval

(i) A request for a Preliminary Approval must address, clearly and completely, ISSUES OF “NEED,” such as:

- How will the site fit with the institution’s mission, strategic plan, six-year plan, and state priorities?
- How might other public institutions be impacted?
- Why does this institution need this type of site at this time?
- Why does the state, region, and/or local need this type of site at this time?
- What will the site do?
- What type(s) of academic offerings/programs—generally or specifically—will be available at the site?
- How many students will be served? How will these students be served?
- How innovative will the site and its offerings be, in terms of technology, scheduling, and space utilization?

(ii) A request for a Preliminary Approval must address, in at least a general way, ISSUES OF “COST,” such as:

- What is the anticipated scope of the project (one building; more? What size, scale, design infrastructure—basic vs. innovative?)?
- Based on all of the above information, what is the institution’s general estimate of site development/purchase/construction costs: annual operating costs?
- How were these determinations made? On what are they based?

(iii) In a request for a Preliminary Approval, “SITE SPECIFIC” ISSUES are optional. At this discretion, a public institution may elect to discuss:

- What specific building(s) for purchases? What specific locations (parcels/tracts, towns, cities, counties)? What specific contractors, terms, agreements?
- Status of preliminary site plans and/or site analyses.
### B. Conditional Approval

(i) Nature of the proposed site.

**Lease Property or Space** ☐

If the property or space is to be used for a specified time period, please attach detailed descriptions of:

a. the location;
b. the lease or use agreement;
c. the length of the lease or use period;
d. an estimate of FTE students to be served during the use period;
e. any support services to be supplied at the site.

**Donated Property or Space** ☐

**Purchased Property or Space** ☐

If the attached property or space is to be donated or purchased, please attach detailed descriptions of:

a. the location;
b. the donation or purchase agreement;
c. an estimate of FTE students to be served;
d. support services to be supplied on-site;
e. projected student enrollment for five years, including enrollment sources(s);
f. estimated operation costs, including staffing and separate listings of ongoing and incremental resource requirements when fully operational;
g. impact of site on other campuses’/institutions’ enrollment(s).

**New campus** ☐

**New Construction** ☐

If the site is to involve construction of a building, complex or campus, please attach detailed descriptions of:

a. the site analysis (including maps);
b. the site plan, including topography, roads, building location(s), traffic and pedestrian circulation/flow, expansion potential, and adjacent land development;
c. student support services to be supplied onsite;
d. an academic plan (for new campus only);
e. projected enrollments for five years, including enrollment source(s);
f. preliminary estimates of costs for site development and construction;
g. preliminary space requirements by function and room type;
h. estimated operating costs, including staffing and separate listing of ongoing and incremental resource requirements when fully operational;
i. impact on other campuses’/institutions’ enrollment(s);
j. projected calendar for development of site and capital projects, implementation, and operating expenditures;
k. summary of planning process and BOV actions.
(ii) Nature of the use of the proposed site. (check all that apply).

☐ Undergraduate Education  ☐ Graduate Education
☐ Traditional-Aged Students  ☐ Adult Students
☐ Day Classes  ☐ Evening/Week-end Classes
☐ Synchronous Instruction  ☐ Asynchronous Instruction
☐ Non-Credit Instruction  ☐ Credit Instruction

C. Contact Information
(i) Name(s), title(s), and contact information for institutional personnel who may be contacted to answer questions and/or supply additional information regarding this proposal.

(ii) Printed name(s) and dated signature(s) of person(s) who completed this proposal and form.

______________________________  ______________________________
Signature Date

______________________________  ______________________________
Signature Date

(iii) Printed name and dated signature of institutional president.

______________________________  ______________________________
Signature Date